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LUD 5538-1 JEL/NDH

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Chen, et al.  
Serial No. : 09/270,437  
Filed : March 16, 1999  
For : ISOLATED NUCLEIC ACID MOLECULES  
ENCODING CANCER ASSOCIATED ANTIGENS  
Group Art Unit : 1641  
Examiner : Unknown

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May 6, 1999

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

LETTER

In response to the Notice dated April 5, 1999, a copy of which is attached, transmitted are declaration/power of attorney forms for the above-referenced matter, together with a check for \$130.00.

Respectfully submitted,

FULBRIGHT & JAWORSKI, LLP

By 

Norman D. Hanson  
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# 987339

4/15/99 - Transmit Notice of Missing Parts + Client  
 5/5/99 - 1 Month reminder: Missing Parts due  
 6/5/99 - Response to notice to file Missing Parts due



**UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
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JEL / NDIH

105

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/270,437 03/16/99 CHEN

**RECEIVED**

Y LUD5538.1CIP

0242/0405 APR 09 1999

FULBRIGHT & JAWORSKI LLP  
 666 FIFTH AVENUE  
 NEW YORK NY 10103

NOT ASSIGNED

FULBRIGHT & JAWORSKI LLP

1641

DATE MAILED:

04/05/99



**NOTICE TO FILE MISSING PARTS OF APPLICATION  
 Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Kim Duncan*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

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 04/05/99 10:00 AM  
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